

Letter of Authorization for Data Transmission to Pershing Advisor Solutions LLC

_____ (the "Firm") is a Registered Investment Advisor that has entered into an agreement with Pershing Advisor Solutions LLC ("PAS") for brokerage custody services.

_____ (the "Advisor") with the Firm, currently serves as the Advisor of record on the policies indicated below with _____ (the "Carrier")

To serve the policy owner more effectively, the Firm and Advisor authorize the Carrier to release policy record information to PAS via **DTCC Files PFF (daily) /PVF (weekly), FAR (daily)**. **The DTCC file should contain:**

1. **DTCC Member ID:** 0443 (Pershing LLC) and
2. **Associated Firm ID:** 3CR (PAS)

ADD ANNUITY POLICY NUMBER	TO BIN (i.e. PAS brokerage account number)	POLICY OWNER SSN/ TIN	ANNUITY CONTRACT PLAN TYPE (i.e. qualified or non-qualified)	NAME OF INSURANCE AGENT
e.g. ABC12334567810	e.g. XYZ-123456	e.g. 407-12-34556	e.g. Qualified	

FIRM'S INFORMATION

RIA's Firm Name	
RIA's Firm EIN:	
Requestor's Name	
Requestor's Phone Number	
Requestor's Email	
Advisor's CRD #	
Advisor's NPN (if available)	

The authorization contained herein shall remain in effect until withdrawn by the Advisor to the Carrier.

Firm: _____

Name: _____

Signature: _____

Title: _____

Date: _____

Pershing Advisor Solutions LLC does not recommend the purchase or sales of securities, including but not limited to the sale of products from this insurance carrier which are offered to customers by advisors and which accounts are carried at Pershing Advisor Solution LLC's clearing broker Pershing LLC. Accordingly, the Registered Investment Advisor, Advisor, Carrier and/ or direct insurance desk has responsibility to determine whether the investment in any insurance product is suitable for the investor and whether such investment is consistent with the investor's risk profile and investment objectives.